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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2009

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

540.00

Complete if Known

Application Number	10/816,371
Filing Date	04/01/2004
First Named Inventor	Christoph Becke
Examiner Name	Janet Marie Wilkens
Art Unit	3637
Attorney Docket No.	2001P14042WOUS

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 502786 Deposit Account Name: BSH Home Appliances Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	52	26
Each independent claim over 3 (including Reissues)	220	110
Multiple dependent claims	390	195

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	52.00	=

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	220.00	=

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Appeal Brief Fee

Fees Paid (\$)

540.00

SUBMITTED BY

Signature	<u>Russell W Warnock</u>	Registration No. (Attorney/Agent)	32,860	Telephone	252-672-7927
Name (Print/Type)	Russell W. Warnock	Date	January 8, 2009		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ATTORNEY DOCKET NO.: 2001P14042WOUS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Serial No.: 10/816,371
Filing Date: 04/01/2004
Applicant: Christoph Becke
Title: REFRIGERATOR AND A DOOR STORAGE
COMPARTMENT FOR THE REFRIGERATOR
Date of Deposit: January 8, 2009
Type of Document(s): Certificate of Mailing (1 page);
Fee Transmittal Form (1 page);
Appeal Brief (18 pages);
Return postcard.

CERTIFICATE OF MAILING UNDER 37 C.F.R. Section 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 8, 2009

Date of Deposit

Russell W. Warnock

Name of Person Signing

Signature

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UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Christoph Becke
Application Number: 10/816,371
Filing Date: 04/01/2004
Group Art Unit: 3637
Examiner: Janet Marie Wilkens
Title: REFRIGERATOR AND A DOOR STORAGE
COMPARTMENT FOR THE REFRIGERATOR

Mail Stop Appeal Brief - Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPEAL BRIEF

Appellants herewith file an Appeal Brief in the above-identified application. The Appeal Brief is accompanied by the requisite fee set forth in 37 CFR 1.17(f).

01/12/2009 HDESTA1 00000044 502786 10816371

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(1) REAL PARTY IN INTEREST

The real party in interest is BSH Bosch und Siemens Hausgeraete GmbH.

(2) RELATED APPEALS AND INTERFERENCES

There are no appeals or interferences that will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

(3) STATUS OF CLAIMS

Claims 1- 8 have been cancelled. Claims 9 - 18 are pending in the present application. Claims 9, 10 - 13 and 16 have been finally rejected. Claims 14 and 15 are under objection and are indicated to be allowable if rewritten in independent form. Claims 17 and 18 have been allowed. The final rejections of claims 9, 10, 12, 13 and 16 are being appealed.

(4) STATUS OF AMENDMENTS

No Amendments have been filed subsequent to the Final Rejection dated June 4, 2008.

(5) SUMMARY OF CLAIMED SUBJECT MATTER

CLAIM 9

Independent claim 9 of the present application recites a door storage compartment 11 for a refrigerator [Para. 0023 and Figures 1 – 5 of the present application]. The door storage compartment 11 includes at least one first storage

compartment portion 1 having a full first horizontally-directed depth and a first vertically-directed storage depth and at least one second storage compartment portion 2 [Para. 0023 and Figures 1, 3, and 4 of the present application]. The second storage compartment portion 2 has a front wall 5 [Para. 0024 and Figures 1, 3, and 4 of the present application]. The second storage compartment portion 2 has a second horizontally-directed depth being less than half of the full first horizontally-directed depth of the first storage compartment portion 1 [Para. 0023 and Figures 1, 3, and 4 of the present application]. Additionally, the second storage compartment portion 2 follows the first storage compartment portion 1 in a longitudinal direction of the door storage compartment 11 [Para. 0023 and Figures 1 – 5 of the present application]. The front wall 5 of the second storage compartment portion 2 has a second vertically-directed storage depth substantially the same as the first vertically-directed storage depth of the first storage compartment portion 1, whereby the second vertically-directed storage depth of the second storage compartment portion 2 is substantially the same as the first vertically-directed storage depth of the first storage compartment portion 1 [Para. 0023 and Figures 1 – 5 of the present application].

CLAIM 13

Claim 13 of the present application depends from independent claim 9 and recites a door storage compartment 11 for a refrigerator as set forth in independent claim 9 [Para. 0023 and Figures 1 – 5 of the present application] with the additional feature that the door storage compartment further includes an intermediate wall 3 as well as the feature that the first and second storage compartment portions 1,2 are generally boxed shaped and separated by the intermediate wall 3 [Para. 0024 and Figures 1 and 2 of the present application].

CLAIM 16

Independent claim 16 of the present application recites a refrigerator including a housing, a door attached to the housing, a first door storage compartment 12 and a second door storage compartment 11 mounted above the first door storage compartment 12 [Para. 0028 and Figure 4 of the present application]. The second door storage compartment 11 includes at least one first storage compartment portion 1 having a full first horizontally-directed depth and a first vertically-directed storage depth and at least one second storage compartment portion 2 [Para. 0023 and Figures 1, 3, and 4 of the present application]. The second storage compartment portion 2 of the second door storage compartment 11 has a second horizontally-directed depth less than the full first horizontally-directed depth of the first storage compartment portion 1 [Para. 0023 and Figures 1, 3, and 4 of the present application]. Additionally, the second storage compartment portion 2 of the second door storage compartment 11 follows the first storage compartment portion 1 in a longitudinal direction of the second door storage compartment 11 [Para. 0023 and Figures 1 – 5 of the present application]. The second horizontally-directed depth of the second storage compartment portion 2 of the second door storage compartment 11 is less than half of the full first horizontally-directed depth of the first storage compartment portion 1 [Para. 0023 and Figures 1, 3, and 4 of the present application]. The second vertically-directed storage depth of the second storage compartment portion 2 of the second door storage compartment 11 is substantially the same as the first vertically-directed storage depth of the first storage compartment portion 1 [Para. 0023 and Figures 1 – 5 of the present application].

(6) GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

- a. Whether claims 9, 10, 12, 13 and 16 are unpatentable under 35 U.S.C. §102(b) as being anticipated by, or in the alternative, under 35 U.S.C. §103(a) as being obvious over US Patent No. 2,074,785 to Gentz?
- b. Whether claim 11 is unpatentable under 35 U.S.C. § 103(a) as being obvious over US Patent No. 2,074,785 to Gentz?

(7) ARGUMENT

- a. Whether claims 9, 10, 12, 13 and 16 are unpatentable under 35 U.S.C. §102(b) as being anticipated by, or in the alternative, under 35 U.S.C. §103(a) as being obvious over US Patent No. 2,074,785 to Gentz?

The Examiner asserts that Gentz '785 discloses a basket 34 comprising three wire frames 37 (Col.3, lines 9 -23, Figures 1 and 4) and the right hand portion of the basket 34 is only substantially one-half the width of the left hand portion of the basket (Official Action, p. 4). However, Appellant submits that Gentz '785 does not disclose, teach or suggest the door storage compartment for a refrigerator as recited in claim 9 of the present application.

Claim 9 recites a storage compartments having walls, while Gentz '785 is directed to baskets formed from spaced wire frames (page 2, left col., lines 9-11). More specifically, Claim 9 recites a front wall, a structure absent from Gentz '785. The difference is that of a wall and a fence, with the wall structure providing

substantially enhanced containment characteristics as a closed structure, distinct from the open structure taught by Gentz '785.

Further, Claim 9 of the present application states that the second storage compartment portion has a second horizontally-directed depth which is "less than half" of the full first horizontally-directed depth. In contrast, Gentz '785 states that the recessed portion of the basket 34 is "substantially one-half the width" of the remainder of the basket (page 2, left col., lines 12-13; see also Figure 4 of Gentz '785). Furthermore, Gentz '785's motivation for making a portion of the shelf narrower is not to accommodate tall articles below but to provide specialized storage space: "[t]he narrower portion affords a storage space for relatively flat articles." (Page 2, left col., lines 13-15, of Gentz '785).

Thus, a tall object such as a bottle, if it were placed in a lower door shelf, would not fit properly next to the upper, recessed shelf 34 because the recessed portion in Gentz '785's shelf extends out too far in the horizontal direction. An object such as a tall bottle that occupies the full width of a shelf, but which narrows to a taper at the top, will nevertheless require an amount of space that is greater than half the full shelf width. The half-width shelf of Gentz '785 will interfere with the tops of such tall objects.

It is therefore asserted that the outstanding rejection of claim 9 under 35 U.S.C §102(b), or in the alternative, under 35 U.S.C. §103(a) is in error and should be reversed.

Claim 10 and 12 depends from claim 9 and claim 9 has been shown above to be in condition for allowance. Therefore, it is respectfully asserted that claims 10 and 12 are likewise in condition for allowance. It is evident from Figure 4 of

Gentz '785 that any perceived compartment portions do not have equivalent lengths. The ends of the deeper fenced area of Gentz '785 are curved, defining a varying width not duplicated in the shallower fenced portion. Further, the shallower portion is shown broken apart, giving the indication of increased length, which directs the structure further away from the structure defined in claim 10.

As for claim 12, which recites that the storage compartments are formed as a one piece unit, and with continued reference to Figure 4 of Gentz '785, Gentz '785 teaches a multi-part structure, i.e. a fence constructed of a number of wires.

Based on the foregoing, the rejections of Claims 10 and 12 under 35 U.S.C §102(b), or in the alternative, under 35 U.S.C. §103(a) is in error and should be reversed.

Claim 13 depends from claim 9 and claim 9 has been shown above to be in condition for allowance. Therefore, it is respectfully asserted that claim 13 is likewise in condition for allowance. Claim 13 of the present application recites that the door storage compartment for a refrigerator recited in claim 9 of the present application also includes an intermediate wall 3, and the first and second storage compartment portions 1,2 are generally boxed shaped and separated by the intermediate wall 3. The Examiner does not recite any structure in Gentz '785 that shows a door storage compartment for a refrigerator having a storage compartment that is generally box-shaped as claimed. Furthermore, element 39 of Gentz '785, which is stated to be an intermediate wall, is in fact a wire that is underneath the lower level 37 and is for supporting the longitudinal rods 38 (page 2, left col., lines 20-23 of Gentz '785). It follows that element 39 of Gentz '785, being underneath the lower level 37 of basket 34, does not separate the first and

second storage compartments as is required of the intermediate wall element of claim 13.

As detailed in Appellant's specification, the intermediate wall of claim 13 serves the additional purpose of strengthening the shelf:

In order to increase the bending stiffness of the door storage compartment when it is loaded, an intermediate wall 3 is provided in the area in which the two sections 1, 2 are adjacent to one another and connects front walls 4, 5 (which face the interior of the refrigerator) of the sections 1, 2 to an integral rear wall 6, which is common to the two sections 1, 2 and rests on the inner wall of the refrigerator door when the door storage compartment is installed. The intermediate wall 3 on the one hand prevents the rear wall 6 from bending laterally when subjected to a bending load, while on the other hand it forms a support for the front wall 5 of the section 2 of smaller depth.

Originally-submitted specification, page 7, lines 15-26 (emphasis added).

In contrast, the shelf structures in Gentz '785 are made of heavy steel wires that are not in need of such structural reinforcement. Thus, Gentz '785 provides no teachings whatsoever that would lead one skilled in the art to provide an intermediate wall as claimed.

It is therefore asserted that the outstanding rejection of claim 13 under 35 U.S.C §102(b), or in the alternative, under 35 U.S.C. §103(a) is in error and should be reversed.

Claim 16 of the present application recites that the second storage compartment portion has a second horizontally-directed depth which is "less than half of" the full first horizontally-directed depth. In contrast, Gentz '785 states that the recessed portion of the basket 34 is "one-half the width" of the remainder of

the basket (page 2, left col., lines 12-13; see also Figure 4 of Gentz '785). Furthermore, Gentz '785's motivation for making a portion of the shelf narrower is not to accommodate tall articles below but to provide specialized storage space: "[t]he narrower portion affords a storage space for relatively flat articles." (Page 2, left col., lines 13-15, of Gentz '785).

Thus, a tall object such as a bottle, if it were placed in a lower door shelf, would not fit properly next to the upper, recessed shelf 34 because the recessed portion in Gentz '785's shelf extends out too far in the horizontal direction. An object such as a tall bottle that occupies the full width of a shelf, but which narrows to a taper at the top, will nevertheless require an amount of space that is greater than half the full shelf width. Gentz '785's half-width shelf will interfere with the tops of such tall objects.

It is therefore asserted that the outstanding rejection of Claim 16 under 35 U.S.C. §102(b), or in the alternative, under 35 U.S.C. §103(a) is in error and should be reversed is in error and should be reversed.

- b. Whether claim 11 is unpatentable under 35 U.S.C. § 103(a) over US Patent No. 2,074,785 to Gentz?

Claim 11 depends from Claim 9 and Claim 9 has been shown above to be in condition for allowance. Therefore, it is respectfully asserted that Claim 11 is likewise in condition for allowance.

It is therefore asserted that the outstanding rejection of Claim 11 under 35 U.S.C. §103(a) is in error and should be reversed is in error and should be reversed.

(8) CONCLUSION

In view of the foregoing discussion, it is respectfully requested that the Honorable Board of Patent Appeals and Interferences overrule the final rejection of Claims 9 – 13, and 16 over the cited art, and hold that the Appellant's claims be allowable over such art.

Respectfully submitted,



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January 8, 2009

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CLAIMS APPENDIX

1 - 8 (Cancelled)

9. (Rejected) A door storage compartment for a refrigerator, the door storage compartment comprising:

at least one first storage compartment portion having a full first horizontally-directed depth and a first vertically-directed storage depth; and

at least one second storage compartment portion having a front wall, the second storage compartment portion having a second horizontally-directed depth being less than half of the full first horizontally-directed depth and following the first storage compartment portion in a longitudinal direction of the door storage compartment, and the front wall of the second storage compartment portion having a second vertically-directed storage depth substantially the same as the first vertically-directed storage depth, whereby the second vertically-directed storage depth of the second storage compartment portion is substantially the same as the first vertically-directed storage depth.

10. (Rejected) The door storage compartment according to claim 9, wherein the first and second storage compartment portions have equivalent lengths.
11. (Rejected) The door storage compartment according to claim 9, wherein the first and second storage compartment portions have different lengths.
12. (Rejected) The door storage compartment according to claim 9, wherein the first and second storage compartment portions are formed as a one-piece part.
13. (Rejected) The door storage compartment according to claim 9, further comprising an intermediate wall, and the first and second storage compartment portions are generally boxed shaped and separated by the intermediate wall.
14. (Objected To) The door storage compartment according to claim 13, wherein the intermediate wall has a horizontal reinforcing web.

15. (Objected To) The door storage compartment according to claim 14, wherein the horizontal reinforcing web forms an upper end of the intermediate wall.
16. (Rejected) A refrigerator, comprising:
 - a housing;
 - a door attached to the housing;
 - a first door storage compartment mounted on the door; and
 - a second door storage compartment mounted on the door above the first door storage compartment, the second door storage compartment containing at least one first storage compartment portion having a full first horizontally-directed depth and a first vertically-directed storage depth, and at least one second storage compartment portion having a second horizontally-directed depth being less than the full first horizontally-directed depth and following the first storage compartment portion in a longitudinal direction of the second door storage compartment, the second horizontally-directed depth being less than half of the full first horizontally-directed

depth and the second vertically-directed storage depth being substantially the same as the first vertically-directed storage depth.

17. (Allowed) A door storage compartment for a refrigerator, the door storage compartment comprising:

at least one first storage compartment portion having a full first horizontally-directed depth and a first vertically-directed storage depth; and

at least one second storage compartment portion having a second inwardly-directed depth being less than the full first horizontally-directed depth, and a second vertically-directed storage depth and following the first storage compartment portion in a longitudinal direction of the door storage compartment, the second horizontally-directed depth being less than half of the full first horizontally-directed depth and the second vertically-directed storage depth being substantially the same as the first vertically-directed storage depth, wherein the at least one second storage compartment portion includes a sloped front wall portion extending angularly downwardly between an access opening and a base of the second storage compartment portion, the base defining an area less than an area defined by the access opening.

18. (Allowed) A door storage compartment for a refrigerator according to claim 17 wherein the base defines a base access opening having an area less than the area defined by the access opening.

Attorney Docket No.: 2001P14042WOUS

EVIDENCE APPENDIX

None

Attorney Docket No.: 2001P14042WOUS

RELATED PROCEEDINGS APPENDIX

None